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The Firm of Karl F. Ross, P.C.

IN THE U.S. PATENT AND TRADEMARK OFFICE

Inventor **Uwe FISCHER et al**  
Patent App. **10/501,961 (US Nat'l phase of PCT/EP02/14551)**  
Filed **16 July 2004**  
For **ROLL SYSTEM, PARTICULARLY A CONTACT ROLL SYSTEM  
OF A WINDING MACHINE**  
Art Unit **Not known**  
Hon. Commissioner of Patents  
Box 1450  
Alexandria, VA 22313-1450

## COMMUNICATION

This is in reply to the Notification of Missing Requirements (Form PCT/DO/EO/905) of 8 December 2004. The undersigned filed the Declaration & Power of Attorney on 17 August 2004.

A copy of the confirmation post card as well as a copy of the filed papers are enclosed. Please issue a Notice of Acceptance of Application (Form PCT/DO/EO/903).

The undersigned attorney of record hereby certifies that the enclosed copies are true copies of the papers filed in the above application on 17 August 2004.

12/22/2004 LLANDGRA 00000068 10501961

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130.00 DP

Atty's 22985

Pat. App. 10/501,961

Respectfully submitted,  
The Firm of Karl F. Ross P.C.



by: Herbert Dubno, 19,752  
Attorney for Applicant

16 December 2004  
5676 Riverdale Avenue Box 900  
Bronx, NY 10471-0900  
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rg  
Enc.

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22985 Uwe FISCHER et al

Ser. no. 10/501,961

US Phase of PCT/EP02/14551

COMPLETION OF FILING  
REQUIREMENT, PCT TRANS,  
DECLARATION & POWER OF ATTY.,  
Assignment w/cover ltr &  
Form 2038 (\$40) and  
Form 2038 (\$130.00)

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Per Firm of Karl F. Briesch

(-)

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IN THE U.S. PATENT AND TRADEMARK OFFICE

Inventor Uwe FISCHER et al  
Patent App. 10/501,961 (US Nat'l phase of PCT/EP02/14551)  
Filed 16 July 2004  
For ROLL SYSTEM, PARTICULARLY A CONTACT ROLL SYSTEM  
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Hon. Commissioner of Patents  
Box 1450 Alexandria, VA 22313-1450

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<input type="checkbox"/>	International Search Report	
<input type="checkbox"/>	Preliminary Amendment	
<input checked="" type="checkbox"/>	Assignment (with PTO-1595 and sep. PTO-2038)	
<input checked="" type="checkbox"/>	PTO-2038 for Official Fees	
<input checked="" type="checkbox"/>	Late filing	\$130.00
<input checked="" type="checkbox"/>	Late filing of translation	\$00
	<b>Total</b>	<b>\$130.00</b>

Please charge any fees not covered by an enclosed PTO-2038 to account 18-2025 of the undersigned.

17 August 2004

5676 Riverdale Ave. Box 900  
Bronx, NY 10471-0900  
Tel: (718) 884-6600  
Fax: (718) 601-1099  
Customer No. 535  
rg

Respectfully submitted,  
The Firm of Karl F. Ross P.C.

Herbert Dubno, Reg. 19,752

22985

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 USC 371

US. Application No. (if known)

10/501961

INTERNATIONAL APP. NO.  
PCT/EP02/14551

INTERNATIONAL FILING DATE  
19 December 2002

PRIORITY DATE CLAIMED  
18 January 2002

## TITLE OF INVENTION

ROLL SYSTEM, PARTICULARLY A CONTACT ROLL SYSTEM OF A WINDING MACHINE

APPLICANT(S) FOR DO/EO/US

Uwe FISCHER et al

COPY

Applicant herewith submits to the United States Designated/Elected Office (DO/EU/US) the following .

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☐ This is an express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 317(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 USC 371(c)(2)).
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau.
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Patent Office.
6. ☐ A translation of the International application into English.
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau.
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3).
9. ☒ An oath or declaration of the inventor(s) (35 USC 371(c)(4).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

Items 11. to 16. below concern documents or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An Assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items of information.

US Application no (if known) <b>10/501961</b>	International Application no. <b>PCT/EP02/14551</b>	Attorney's Docket No. <b>22985</b>
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17. The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5): Search report has been prepared by the EPO or JP ..... \$900.00  Int'l prel. exam. fee paid to USPTO (37 CFR 1.482) ..... \$720.00  No int'l prel. exam. fee paid to USPTO (37 CFR 1.482) but int'l search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$770.00  Neither int'l prel. exam fee (37 CFR 1.482) nor int'l search fee (37 CFR 1.455(a)(2)) paid to USPTO ..... \$1080.00  Intl. prel. exam. fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Art. 33(2-4) ..... \$100.00  <div style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT</div>	<div style="border: 1px solid black; padding: 10px; margin-bottom: 10px;">             CALCULATIONS    PTO USE ONLY   <div style="font-size: 48px; opacity: 0.5; transform: rotate(-10deg);">COPY</div> </div> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;"></td> <td style="width: 40%;"></td> </tr> <tr> <td style="text-align: right;">Surcharge of \$130.00 for furnishing oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</td> <td style="text-align: center; vertical-align: bottom;"><b>\$130</b></td> </tr> </table> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">CLAIMS</td> <td style="width: 20%;">NO. FILED</td> <td style="width: 20%;">NO. EXTRA</td> <td style="width: 20%;">RATE</td> <td style="width: 20%;"></td> <td style="width: 20%;"></td> </tr> <tr> <td>Total claims</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Ind. claims</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td colspan="4">MULTIPLE DEP. CLAIM(S) (if applicable) (see prel. amt.)</td> <td></td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS</td> <td style="text-align: center; vertical-align: bottom;"><b>\$130</b></td> <td></td> </tr> <tr> <td colspan="4">Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (37 CFR 1.2, 1.27, 1.28)</td> <td style="text-align: center; vertical-align: bottom;"><b>\$0</b></td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL</td> <td style="text-align: center; vertical-align: bottom;"><b>\$130</b></td> <td></td> </tr> <tr> <td colspan="4">Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td></td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL NATIONAL FEE</td> <td style="text-align: center; vertical-align: bottom;"><b>\$130</b></td> <td></td> </tr> <tr> <td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The Assignment may be accompanied by an appropriate PTO-1595 cover sheet (37 CFR 3.28, 3.39)</td> <td style="text-align: center; vertical-align: bottom;"><b>\$40</b></td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL FEES ENCLOSED</td> <td style="text-align: center; vertical-align: bottom;"><b>\$170</b></td> <td></td> </tr> <tr> <td colspan="4" rowspan="2"></td> <td style="text-align: center;">Amt to be refunded</td> <td></td> </tr> <tr> <td style="text-align: center;">Amt to be charged</td> <td></td> </tr> </table>			Surcharge of \$130.00 for furnishing oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	<b>\$130</b>	CLAIMS	NO. FILED	NO. EXTRA	RATE			Total claims						Ind. claims						MULTIPLE DEP. CLAIM(S) (if applicable) (see prel. amt.)						TOTAL OF ABOVE CALCULATIONS				<b>\$130</b>		Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (37 CFR 1.2, 1.27, 1.28)				<b>\$0</b>		SUBTOTAL				<b>\$130</b>		Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)).						TOTAL NATIONAL FEE				<b>\$130</b>		Fee for recording the enclosed assignment (37 CFR 1.21(h)). The Assignment may be accompanied by an appropriate PTO-1595 cover sheet (37 CFR 3.28, 3.39)				<b>\$40</b>		TOTAL FEES ENCLOSED				<b>\$170</b>						Amt to be refunded		Amt to be charged	
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a. ☐ A check in the amount of \$130 to cover the above fees is enclosed

b. ☐ Please charge my deposit account 18-2025 \$00.00 to cover the above fees. A copy of this sheet is enclosed.

c. ☒ Please charge the amount due to the credit card identified in the attached PTO-2038.

d. ☒ The commissioner is authorized to charge any additional fees which may be required or credit any overpayment to deposit account 18-2025. A copy of this sheet is enclosed

e. ☒ A PTO-2038 in the amount of \$40 to cover recordal of the Assignment is enclosed

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send all correspondence to:

**The Firm of Karl F. Ross P.C.**  
**5676 Riverdale Ave. Box 900**  
**Riverdale (Bronx), NY 10471**

Herbert Dubno, Reg. No. 19,752

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